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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Patrick E. Patterson

Serial No.: 10/812,099

Filed: March 30, 2004

For: **TRACKING ELECTRONIC  
CONTENT**

Docket No.: 07650003C1

Group Art Unit: 2131

Examiner: Unassigned

**Information Disclosure Statement**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants respectfully bring the following information listed on accompanying Form PTO-1449 to the attention of the examiner.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited

by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. §120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

- ☐ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits OR before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. No certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☒ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☒ c. Attached is our check in the amount of \$ 180.00 in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

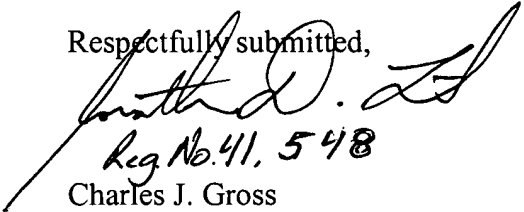
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 4. Relevance of the non-English language document(s) is discussed in the present specification.
- ☐ 5. The document(s) was/were cited in a corresponding foreign application.
- ☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below:
- ☐ 7. The Examiner's attention is directed to co-pending U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.
- ☐ 8. Copies of the documents were cited by or submitted to the Office in Application No. \_\_\_\_\_ filed on \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 Form, and to indicate in the official file wrapper of this patent application that the documents have been considered.

Applicant(s): Patrick E. Patterson.  
Appl. No. 10/812,099

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency,  
or credit any overpayment, to our Deposit Account No. 23-1951.

Respectfully submitted,

  
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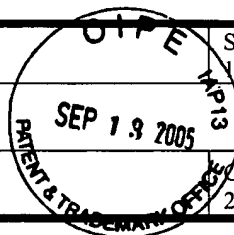
**Dated: September 12, 2005**  
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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Attorney Docket No.:  
07650003C1Applicant:  
Patrick E. PattersonFiling Date:  
March 30, 2004Serial No:  
10/812,099Group:  
2153

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINERS INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	5,905,860	05/18/1999	Olsen, et al.	---	---	
	5,778,367	07/07/1998	Westinger Jr., et al.	---	---	
	6,304,897	10/2001	Venkatraman et al.	---	---	
	6,144,942	11/2000	Ruckdashel	---	---	
	5,963,915	10/1999	Kirsch	---	---	
	6,484,156	11/2002	Gupta et al.	---	---	
	6,055,570	04/2000	Nielson	---	---	
	6,067,526	05/2000	Powell	---	---	
	6,205,485	03/2001	Kikinis	---	---	
	6,288,738	09/2001	Dureau et al.	---	---	
	4,683,553	07/28/1987	Mollier	---	---	
	5,671,276	09/23/1997	Eyer et al.	---	---	
	5,023,907	06/1991	Johnson et al.	---	---	
	5,319,705	06/1994	Halter et al.	---	---	
	5,703,951	12/1997	Dolphin	---	---	
	6,314,454	11/2001	Wang et al.	---	---	
	6,256,672	07/2001	Redpath	---	---	
	5,790,790	08/1998	Smith et al.	---	---	
	6,389,541	05/2002	Patterson	---	---	
	6,178,442	01/2001	Yamazaki	---	---	
	6,192,396	02/2001	Kohler	---	---	
	6,684,248	01/2004	Janacek et al.	---	---	
	5,057,935	10/1991	Williams	---	---	
	6,134,592	10/2000	Montulli	---	---	

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
WO 98/58306	12/23/1998	WIPO	---	---	<input checked="" type="checkbox"/>	<input type="checkbox"/>
WO 98/30964	07/16/1998	WIPO	---	---	<input checked="" type="checkbox"/>	<input type="checkbox"/>
WO 96/27155	09/06/1996	WIPO	---	---	<input checked="" type="checkbox"/>	<input type="checkbox"/>

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.